



# GVHD Prophylaxis (Immunosuppression)

# 25

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## 25.1 Introduction

The most life-threatening complication of allo-HSCT is the graft-versus-host disease (GVHD) which occurs when T cells from the recipient recognize the host as foreign. Despite 50 years of history and nearly half a million of procedures performed worldwide, GVHD remains the most challenging issue physicians are facing on a daily basis.

Overall, 30–50% of the patients will develop acute GVHD, and around 15% will have severe GVHD (grades III–IV). The main risk factor for developing chronic GVHD is the previous development of the acute form of the disease.

The pathophysiology, diagnosis, and management of both acute and chronic GVHD will be covered by other chapters in this Handbook (Chaps. 43 and 44). This chapter will summarize the use of IS to prevent the development of acute GVHD since attempt to prevent chronic GVHD basically rely on the ability to prevent the acute disease. Readers with interest on a more detailed overview of the acute GVHD biological process, prevention, and therapy can

refer to an excellent recent review (Zeiser and Blazar 2017).

## 25.2 GVHD Prophylaxis After MAC; The “Gold” Standard; CNI in Combination with MTX

Back in the mid-1980s, Storb and colleagues reported that the combination of CSA/MTX (Table 25.1) was superior to CSA in a series of prospective randomized phase 3 trials (Storb et al. 1986). This gold standard regimen remains the most widely used in Europe today as prophylaxis regimen especially after MAC.

In the late 1990s, another CNI-based prophylactic regimen using tacrolimus (TAC) in conjunction with MTX was developed, and two randomized phase 3 trials were published after MAC in HLA-identical and URD, respectively (Ratanatharathorn et al. 1998; Nash et al. 2000). Although both reported a significant decreased in the incidence of grade II–IV acute GVHD, none of the two could demonstrate an improved survival rate with TAC/MTX as compared to CSA/MTX. The reasons for this lack of improvement are twofold: (1) in the trial performed from HLA-identical sibling D, there was an imbalance of disease risk among the two groups with higher risk patients with leukemia among patients receiving TAC/MTX, and (2) for the trial in URD, the HLA-typing methodology at

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**Table 25.1** CSA/MTX for GVHD prophylaxis

	Cyclosporine	Methotrexate
Drug posology	3 mg/kg/day IV till engraftment then orally	15 mg/m <sup>2</sup> day +1 10 mg/m <sup>2</sup> day +3, +6, +11
Adjusting dose	Target dose to 150–200 ng/mL; adjust to renal function	Day 11 may be omitted if grade III/IV mucositis
Interaction	Numerous; ++ with azoles	
Secondary effects	Numerous Renal insufficiency, CNS, and endothelial toxicities	Mucositis

that time was serologically based and thus included a very high proportion of patients with almost certainly high degree of mismatching. Nevertheless it should be stressed that the TAC/MTX regimen is currently considered as the American gold standard, while it never reached popularity in Europe.

CSA and TAC inhibit GVHD by preventing the activation of the nuclear factor of activated T-cell (NFAT) family of transcription factors, thereby reducing the transcription of interleukin-2 and the activation of effector T cells, albeit with a concurrent reduction in levels of interleukin-2-dependent anti-inflammatory Tregs.

### 25.3 GVHD Prophylaxis After RIC; Is CNI Plus MMF Standard?

From the early development of the RIC, two regimens have been used in the setting of RIC, CSA (or TAC) alone or in combination with MMF (reviewed in; Zeiser and Blazar 2017). Somewhat surprisingly the association of CSA/MMF while largely used worldwide has never been tested stringently in the setting of a large randomized prospective randomized trial. CNI in this setting are usually used at the same dose (and share the same toxicity profile) as after MAC. MMF's toxicity mainly relies on sometimes unpredictable hematological toxicity. Attention must be paid to the use of ganciclovir (for CMV reactivation) in addition to MMF because of the risk of severe pancytopenia. MMF is usually delivered at the

dose of 30 mg/kg/day split into two to three doses. Anecdotal evidence suggests depending on the transplant situation (i.e., HLA-identical vs. URD) that MMF should be delivered (till day + 80?) in recipients from URD.

### 25.4 Can PT-CY Be Considered as Standard GVHD Prophylaxis in Transplantation from Haploidentical Donors and Beyond?

There is a recent bloom in the use of haploidentical donor during the past few years worldwide. While initial attempt was to use megadose of CD34+ selected HSC, the advent of PT-CY has really revolutionized this procedure. The PT-CY designed by Baltimore's group includes CY 50 mg/kg on day +3 and +4 followed by TAC/MMF. Toxicities include those associated with CNI and MMF. Specific toxicity associated with CY includes hemorrhagic cystitis and the rare but potentially serious early cardiologic dysfunction. Although the incidence of acute GVHD remains significant (in around 1/3 of the patients), there is now some evidence that PT-CY might be associated with low rate of chronic GVHD (reviewed in; Fuchs 2017).

Furthermore, beyond the setting of haploidentical transplant, PT-CY has gained popularity in other setting including transplantation from URD and HLA-identical sibling. Although it seems unlikely today that any formal randomized trial (vs. ATG) will be launched after haplo-HSCT, it would be of major scientific interest to prospectively compare within a phase 3 trial ATG vs. PT-CY.

Finally, whether PT-CY is equally effective after RIC and MAC regimen is currently unknown as it is unknown if other combination like sirolimus (SIR) + MMF can be as effective as (or less effective as) CNI/MMF in addition to PT-CY in the haploidentical situation or even if PT-CY can safely be used as a single agent after HLA-identical sibling transplants, as recently reported (Mielcarek et al. 2016).

CY administered in two doses scheduled soon after transplantation depletes highly proliferating alloreactive conventional T cells while helping to preserve Tregs.

## 25.5 ATG or Alemtuzumab for GVHD Prophylaxis in HSCT

Since almost two decades, both ATG and alemtuzumab (ALEM) have been used to prevent GVHD especially after transplantation from URD. ALEM although efficacious in preventing acute GVHD has never been tested prospectively in a randomized phase 3 trial and has almost exclusively been developed in the UK. ATG however has been tested in four prospective randomized phase 3 trials. Three out of these four used anti-T-lymphocyte globulin (ATLG) and one rabbit ATG (rATG). However, the design, the time period, patients' selection, donor type, and primary end point of these four randomized trials differ (see Table 25.2 for references). From the perspective of GVHD prophylaxis efficacy, all four trials demonstrated a significant decrease in chronic GVHD rate and in three out of the four a statistical significant decrease in the rate of acute GVHD. Other end points varied among the four trials. In particular the American trial by Soiffer et al. was the only one in which patients who received ATLG experienced an increased rate of relapse mainly in patients with AML who received TBI as part of a MAC pre-transplant.

## 25.6 New Immunosuppressive Regimens for GVHD Prophylaxis

With current treatment strategies summarized above, the rate of moderate to severe acute GVHD remains of concern in the range of 20–50%. As reviewed elsewhere in the Handbook, the treatment of acute and of chronic GVHD with high-dose steroids remains unsatisfactory with 30–50% of the patients being steroid resistant or dependent. There is thus an unmet clinical need in GVHD prophylaxis. After years of lack of new agent in this setting, the better knowledge of basic T-cell immunology, of the pathophysiology of the disease, and new drug development by the industry, new agents have been tested mostly in phase 2 trials which appeared to be promising. This section summarized the drugs with most advanced development that reported an acute GVHD incidence in the 20% range (i.e., a range that may warrant development of subsequent phase 3 trials). Readers with interest on a more detailed portfolio of current drug development and new targets could refer to a recent review (Zeiser and Blazar 2017).

In contrast to CNI, SIR, an mTOR inhibitor, is a more potent suppressor of the expansion of conventional T cells than Tregs, owing to the greater dependence of conventional T cells on the mTOR-protein kinase B pathway. This was the basis of the development by the Dana-Farber Cancer Institute (DFCI) group of a regimen that leads to an estimated cumulative incidence of acute GVHD grades II–IV of 20.5% and of less than 5%

**Table 25.2** Four randomized trials using ATG as a GVHD prophylaxis

	Finke et al. (2009)	Kroger et al. (2016)	Soiffer et al. (2017)	Walker et al. (2016)
N	202	168	254	203
Product	ATLG	ATLG	ATLG	rATG
Primary end point	GVHD	cGVHD	cGVHD-free survival	Freedom from all IST
Conditioning	MAC	MAC	MAC	MAC+RIC
Donor	URD	Id. Sibling	URD	URD
GvHD prophylaxis	CSA +MTX	CSA +MTX	TAC +MTX	CSA or TAC+MTX or MMF
Acute GVHD	33 vs. 51% (grade II–IV)	11 vs. 18% (grade II–IV)	23 vs. 40% (grade II–IV)	50 vs. 65% (any grade)
Chronic GVHD	Decreased	Decreased	Decreased	Decreased

grades III–IV. This prompted a large trial of the BMTCTN comparing TAC/SIR to TAC/MTX. The primary end point of the trial was to compare grade II–IV acute GVHD-free survival using an intention-to-treat analysis of 304 randomized subjects. There was no difference in the probability of day 114 grade II–IV acute GVHD-free survival (67% vs. 62%,  $P = 0.38$ ). Grade II–IV GVHD was similar in the TAC/SIR and TAC/MTX arms (26% vs. 34%,  $P = 0.48$ ) (Cutler et al. 2014). A smaller randomized single-center phase 2 study found however less cumulative incidence with 43% grade II–IV after TAC/SIR (as compared to an unexpected high rate of 89% after TAC/MTX) (Pidala et al. 2012).

Encouraging rates have also been reported by two other compounds: Bortezomib (BOR) (Koreth et al. 2012) and Maraviroc in 2012 (Reshef et al. 2012) delivered in addition to TAC/MTX. These two drugs as well as CY have been then tested in randomized phase 2 trials in the setting of HSCT (BMTCTN 1203 trial) after RIC in a pick-the-winner-designed trial (i.e., aimed to test in a multi-center setting the three drugs) and compared to prospective contemporary cohort of patients who received TAC/MTX. The final results of this trial closed for recruitment will be available in 2018. Finally, in an open-label three-arm phase 2 randomized controlled trial, investigator at the DFCl compared grade II–IV acute GVHD between conventional TAC/MTX (A) vs. BOR/TAC/MTX (B) and vs. BOR/SIR/TAC (C), in RIC-HSCT recipients from URD in 138 patients. Day +180 grade II–IV acute GVHD rates were similar (A 32.6%, B 31.1%, C 21%) as was the 2-year NRM. Overall, the BOR-based regimens evaluated did not seem to improve outcomes compared with TAC/MTX therapy (Koreth et al. 2018).

Finally, based on preclinical works in mice models, two drugs Vorinostat and Tocilizumab provided exciting results and were supported by ancillary biological data in humans.

- Vorinostat, a histone deacetylase inhibitor, at low concentration has anti-inflammatory and immunoregulatory effects. Pavan Reddy's group in Michigan provided compelling evidences that in preclinical models Vorinostat

reduced GVHD rate, suppressed pro-inflammatory cytokines, regulated APCs, and enhanced Treg functions. In two separate trials (Choi et al. 2014, 2017), authors translated their findings in the clinical setting. In one trial where Vorinostat was added to standard prophylaxis after RIC in HLA-identical siblings, acute GVHD grade II–IV rate was 22% and that of grades III–IV of 6%. In another trial after MAC in URD, the acute GVHD rates were similar.

- The addition of Tocilizumab to CNI+ MTX standard prophylaxis has been tested by two different groups (Kenedy et al. 2014; Dorobyski et al. 2018). Tocilizumab is a humanized anti-IL-6 receptor monoclonal antibody. IL-6 levels are increased early during GVHD and are present in all target tissues. Blockade of the IL-6 signaling pathway has been shown to reduce the severity of GVHD and to prolong survival in experimental models. Investigators in Milwaukee and in Brisbane conducted two separate phase 2 trials using Tocilizumab, and both found very low rate of grade II–IV acute GVHD (less than 15%).

Other new agents are currently either tested in preclinical models or are in the early stage of development in clinical trials (reviewed in Zeiser and Blazar 2017). New strategies that have shown efficacy in preclinical models of GVHD include the inhibition of Janus kinase (JAK) and rho-associated protein kinase 1 (ROCK-1). The blockade of phosphatidylinositol 3-kinase (PI3K), mitogen-activated protein kinase (MEK) proteins 1 and 2, aurora A kinase, and cyclin-dependent kinase 2 (CDK2) have been shown to reduce acute GVHD in murine models.

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## 25.7 Conclusion and Perspective

Despite decades of experience with transplantation, GVHD still occurs in over 40% of the patients. When acute GVHD develops, the main treatment is high-dose steroids. However around one third of the patients will be steroid resistant.

Steroid resistance remains associated with a dismal prognosis (30–40% 1-year survival). These data urge for developing new strategies to prevent GVHD. Fortunately enough, based on preclinical findings and improved knowledge on the immune biology of HSCT, recent drug combination opens the gate for future development.

### Key Points

- Current GVHD prophylaxis relies on CNI + short-term MTX after MAC and of CSA ± MMF after RIC
- ATG has been demonstrated to decrease acute GVHD after URD transplant and of chronic GVHD
- Despite the above two points, new prophylactic regimens are clearly warranted since severe GVHD rates still lie on the 25% range

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